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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Printed or Typed Name of the Person Signing the Certificate

Signature

Date of Signature

Re: Invention:

SYSTEM AND METHOD FOR INTELLIGENT

DOCUMENT GENERATION AND PRINTING

Inventor:

Britton, Susan M.

Serial No.:

10/827,157

Filed:

April 19, 2004

Our File No.:

P00949-US-01 (14319.0001)

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith a form PTO/SB/08, including copies of the foreign patent documents, non-patent literature and other information identified on said form, which may be material to the examination of this application and in respect to which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

Copies of the listed U.S. patent documents are not enclosed, as copies of those references are not required. Copies of the other references are enclosed.

Pursuant to 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) and before the mailing date of a first Office Action on the merits.

In the event Applicant inadvertently overlooked the need for any additional petition or payment of additional fee, Applicant hereby conditionally petitions therefor and authorizes that any charges be made to deposit account 09-0007.

Respectfully Submitted,

ICE MILLER

Doreen J. Gridley

Attorney Registration No.: 35,167 One American Square, Box 82001 Indianapolis, Indiana 46282-0002

Telephone: (317) 236-2100

Date: 04/30/04

DJG/pgf

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Enclosures: Form PTO/SB/08A

Copy of Foreign Patents

cc: Laura Matlin, Esq. (w/o encl.)

PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for Substitute 19/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/827,157		
Filing Date	4/19/2004		
First Named Inventor	BRITTON, Susan M.		
Art Unit			
Examiner Name			
Attorney Docket Number	P00949-US-01		

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (II known)}	1.0/0.1/0.00		r igures Appear
		^{US-} 2002/0161683 A1	10/31/2002	Ginsberg	
		^{US-} 2003/0120578 A1	6/26/2003	Newman	
		^{US-} 2002/0065896 A1	5/30/2002	Burakoff et al.	
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		^{US-} 6,122,635	9/19/2000	Burakoff et al.	
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FOREIGN PATENT DOCUMENTS						
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY			T ⁶
		WO 96/18963	6/20/1996	FS Holdings Inc		
		WO 99/41688	8/19/1999	Newriver Invest		
		WO 02/054323 A1	7/11/2002	Incapital Hold		
		WO 02/088872 A2	11/7/2002	CFPH, L.L.C.		
		EP 1 253 550 A1	10/30/2002	CFPH, L.L.C.		
		JP2001265928A2	9/28/2001	Nikko		

Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Application Number 10/827,157

Filing Date 4/19/2004

First Named Inventor BRITTON, Susan M.

Art Unit Examiner Name

Sheet 2

Attorney Docket Number P00949-US-01

U. S. PATENT DOCUMENTS						
Examiner Cite Initials* No.		Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
maaio	110.	Number-Kind Code ^{2 (il known)}		, , , , , , , , , , , , , , , , , , , ,	Figures Appear	
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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶		
		JP2002366757A2	12/20/2002	Nikko Cordial				
		JP2003187079A2	7/4/2003	Nomura Sec.				

Examiner	Date	
Signature	Considered	

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